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Attorney Docket: MXIC 1532-1

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Jaule Faulk Hurley

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Inventor:

Chih Yuan Huang et al.

Application No.: 10/621,120

Filed: 16 July 2003

Title: Method for Forming Silicon Film with Changing

**Grain Size by Thermal Process** 

Group Art Unit:

2818

Examiner:

Andy Huynh

Customer No. 22470

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56**

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56.

## Enclosed with this statement are the following:

- X Form PTO-SB/08A. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- X Also enclosed with this statement is a copy of each cited document as required by 37 C.F.R. 1.98. However, since this application was filed after June 30, 2003, copies of U. S. Patents and U. S. Patent Application Publications are not enclosed. (See O. G. Notice of August 5, 2003.)

## This statement should be considered because:

X This statement should be considered under 37 C.F.R. 1.97(c) because it is being filed before the mailing date of a FINAL office action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first, AND it is accompanied by the \$180 fee set forth in 37 C.F.R. 1.17(p).

Attorney Docket: MXIC 1532-1

The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0869 (MXIC 1532-1). A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Warren S. Wolfeld, Reg. No. 31,454

HAYNES BEFFEL & WOLFELD LLP

P.O. Box 366

Half Moon Bay, CA 94019 Telephone: (650) 712-0340 Facsimile: (650) 712-0263

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Substitute for form 1449A/P Q NOV 0 5 2004 INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/621,120

Filing Date July 16, 2003

First Named Inventor Chih Yuan Huang

Group Art Unit 2818

Examiner Name Andy Huynh

(use as many sheets as necessary)

Sheet 1 of 2

Examiner Name Andy Huynh
Attorney Docket Number MXIC 1532-1

				U.S. PATENT DOCU	JMENTS	
Examiner Initials*	Cite No.1	Number	Coument  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Al	4,945,393		Beltram et al.	07-31-1990	
	A2	5,017,308		Iijima	05-21-1991	
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	A17					
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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	(use as many si	heel	ls as necessary)	Examiner Name	Andy Huynh
Sheet		of	2	Attorney Docket Number	MXIC 1532-1

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	
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	C1	Kwon, Hyungshin et al., "Electrical Characteristics of Ultrathin Gate Oxide Prepared by Postoxidation Annealing in ND3," Applied Physics Letters, 76 (6): 772-773 (02-07-2000).	
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